



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
FILING COMPLETION UNDER RULE 53(f)

*1# sector*  
*#3* PATENT APPLICATION

Attn: Application Division

INVENTION APPLICATION of  
Inventor: DUNCAN et al.  
Appln. No.: 09/753,080  
Filed: December 29, 2000  
Title: "Method and Apparatus for Classifying Java Remote  
Method Invocation Transport Traffic"

Atty. Dkt. 061473 | 0270172  
(Our Deposit Account No. 03-3975)  
(Our Order No. 0270172 | NOR-12547  
TMC# | Client Ref

Date: May 21, 2001

Commissioner for Patents  
**BOX MISSING PARTS**  
Washington, D.C. 20231

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. Notice to File Missing Parts ☒ copy enclosed ☐ not yet received  
2. ☒ Signed Declaration enclosed ☒ Original ☐ facsimile/copy  
3. ☒ Enclosed is an Assignment and cover sheet. Please return the recorded assignment to the undersigned.

4. Basic Filing Fee.....	\$710 / 355	\$	710.00	101/201
5. Total Claims	12	minus 20 =	0	x \$18/\$09 + .00 103/203
6. Independent Claims	2	minus 3 =	0	x \$80/\$40 + .00 102/202
7. Surcharge for filing Declaration/filing fee late	\$130/\$65	+	130.00	105/205
8.	FILING FEE ENCLOSED = \$ 840.00			
9. Original due date: April 21, 2001				
10. Petition is hereby made to extend the original due date to cover the date of this response, for which the requisite fee is enclosed.	\$110/55 \$380/190 \$870/435 \$1510/755		\$110.00	
11. If "assignment" box is X'd, add recording fee.....	\$40	+	40.00	581
12.	TOTAL FEE: =		990.00	

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any missing or insufficient fees required under Rules 16-18 relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed.

1100 New York Avenue, N.W.  
Ninth Floor, East Tower  
Washington, D.C. 20005-3918  
Tel: (650) 233-4777  
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PILLSBURY MADISON & SUTRO, LLP  
By: Mark J. Danielson, Reg. No. 40,580

*Mark J. Danielson*

I certify that the enclosed papers are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231, on May 21, 2001..

*Jeanette Cooks*  
Jeanette Cooks

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/753,080	12/29/2000	Robert J. Duncan	061473/0270172 NOR-12547

CONFIRMATION NO. 3507

## FORMALITIES LETTER



PILLSBURY MADISON & SUTRO LLP  
Ninth Floor, East Tower  
1100 New York Avenue, N.W.  
Washington, DC 20005-3918

Date Mailed: 02/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

RECEIVED 02/21/2001 11:00 AM  
PILLSBURY MADISON & SUTRO LLP